

The background of the slide features a collage of various plastic bottles and a metal can. In the upper left, there is a blue aluminum can with a pull-tab lid, showing some text like "Nutrition Facts". To its right and slightly below is a clear plastic bottle with a blue screw cap. Further right is a green plastic bottle with a green screw cap. The background is a light blue gradient with faint, larger-scale patterns of bottles and cans. A white curved line separates the top image area from the text area.

Recycling Program Enforcement Branch – Quarterly Update

July 15, 2014



AGENDA

- Objectives
- Probationary Reviews
- Inspections
- Investigations
- Accusations
- DOJ Investigations
- Regulations Update



OBJECTIVES

- To provide a level of transparency for compliance assurance & enforcement activities
- To provide performance metrics associated with major functional areas for CY 2014
- To highlight successful compliance assurance and/or enforcement activities by CalRecycle and our interagency partners



PROBATIONARY REVIEWS

➤ January – March 2014 Statistics:

- Total Cases Generated – 423
- Recycling Centers Visited - 50
 - Passed - 14
 - Extended - 34
 - Recommended for Revocation - 1
 - Decertified during/as a result of visit - 1
 - Assessments - \$84,716.75



PROBATIONARY REVIEWS

➤ January – March 2014 Statistics:

- Recycling Centers Not Visited - 373
 - Passed - 192
 - Extended - 153
 - Recommended for Revocation - 1
 - Not RCs - 6
 - Decertified / Abandoned - 9
 - Ongoing Investigation - 12



INSPECTIONS

January – March 2014 Statistics:

Recycling Center Inspections		Totals:
Inspections Conducted		1,407
Notices of Non-Compliance Issued		656
Notices of Violation Issued	Civil Penalties: \$20,300	161

Dealer Inspections		Totals:
Inspections Conducted		40
Notices of Non-Compliance Issued		13
Notices of Violation Issued	Total Civil Penalties: \$100	1



INSPECTIONS

January – March 2014 Statistics:

Other Functional Activities:	Totals:
Complaints Resolved	65
Dealer Affidavits Served	9
Handling Fee Site Support	5



INVESTIGATIONS

January – March 2014 Statistics:

Investigation Type	Initiated	Closed
Investigations	60	36
Fraud Detection Reviews	106	57
Claimed Volume Report	23	6
Probationary Reviews	0	4
TOTALS:	189	103



INVESTIGATIONS

January – March 2014 Statistics:

Other Functional Activities:		Totals:
Call Center Cases: Potential Fraud	Initiated: 22	Closed: 24

Prepayment Load Inspections & Claims Review	
Inspected	765
Denied	6
Reduced	4

Participants Placed on Prepayment Control Status	5
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INVESTIGATIONS

January – March 2014 Statistics:

Other Functional Activities (cont.):		Totals:
Forensic Reviews Completed		9
Notices of Violation Issued	Total Penalties: \$1,500	5
Notices of Noncompliance/Findings Notices Issued		53
Recommendations to Revoke / Terminate Certification		4
Recommendations to Deny / Certification Applications		4
DOJ Referrals		8



ACCUSATIONS

Unresolved accusations as of June 30, 2014 ~ filed before March 2014:

PARTICIPANT NAME	DATE FILED	AMOUNT ASSESSED
Piccadilly Imports, Inc.	12/22/08	\$ 10,990.87
Villanueva Recycling	04/30/10	\$ 149,434.94
Daws Recycling	01/10/13	\$ 82,487.73
J & S Recycling	02/07/13	\$ 206,258.61
USA Recycling	04/04 & 04/24/13	\$ 790,786.56
JL Recycling	07/08/13	\$ 34,368.64
Alco Iron & Metal	07/19 & 09/19/13	\$ 7,422,339.97
Capital City Recycling	01/31/14	\$ 311,446.99
		\$ 9,008,114.31



ACCUSATIONS

Accusations filed March 2014 – June 2014:

- No accusations were filed.



ACCUSATIONS

Accusations filed before CY 2014 and resolved
March 2014 – June 2014:

Participant Name	Date Filed	Amount Assessed	Amended Assessment*	Date Finalized	Resolution
Bullseye Recycling	04/04/13	\$412,672.30	\$412,680.80	03/03/14	\$235,000.00
Welch Foods, dba. Welch's	10/23/08	\$472,137.41		05/29/14	\$300,000.00
Mission Fibers / Burbank Recycling	01/30/09	\$75,000,000.00		06/04/14	\$55,938,402.46
	TOTAL:	\$75,884,809.71	\$75,884,818.21	TOTAL:	\$56,473,402.46

* If no amendment, amount assessed in original filing is included in the total.



DOJ INVESTIGATIONS

➤ January – March 2014 Statistics:

	Statistics		
<u>Team</u>	Open Investigations	Closed Investigations	Arrests
Northern Recycling Fraud Team	0	3	9
Southern Recycling Fraud Team	1	2	0
<u>Total</u>	1	5	9



REGULATORY UPDATES

- **Segregated Only / Recordkeeping & Reporting Requirements**
 - Three informal public workshop have been held (January, March & May 2014)
 - DOR in process of compiling regulatory package for internal CalRecycle / CalEPA review